

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Roger Lancaster

Serial No.: 09/125,479

Filed: August 19, 1998

Group:

Examiner:

For: UNIVERSAL CONTRACT EXCHANGE

Honorable Commissioner of
Patents and Trademarks
OFFICE OF FINANCE
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the
United States Postal Service as first class mail in an envelope addressed
to Commissioner of Patents and Trademarks, Washington, DC 20231 on

9/17/98
(Date of Deposit)

Gregory M. Howison

(Name of Person Mailing Document)

(Signature)

9/17/98
(Date of Signature)

Dear Sir:

REQUEST FOR REFUND

On August 19, 1998, Applicant filed a U.S. National Phase Application with unexecuted documents in the above captioned case with a check in the amount of \$1,070.00 (filing fee for a large entity of \$1,040.00; \$130.00 for furnishing the declaration later than 30 months from the priority date). A copy of these documents are attached hereto. However, Applicant has now executed and filed a Statement Claiming Small Entity Status, a copy of which are attached hereto. Applicant hereby requests that a refund in the amount of \$520.00 (50% of the filing fee of \$1,040.00) be deposited in our Deposit Account No. 20-9780/LAUS-24,408 of Thompson & Howison, L.L.P.

Respectfully submitted,

THOMPSON & HOWISON, L.L.P.
Attorneys for Applicant

Gregory M. Howison
Registration No. 30,646

GMH/co

P.O. Box 741715

Dallas, Texas 75374-1715

972/479-0460

September 16, 1998

1998 SEP 23 AM 10:33
DIVISION
RECEIPT ACCOUNTING

THOMPSON & HOWISON, L.L.P.
OPERATING ACCOUNT
12225 GREENVILLE AVE #995 PH. 214-479-0450
HIGH POINT CENTER
DALLAS, TX 75243

2767

PAY
TO THE
ORDER OF

Patent and Trademark Office

DATE 8/19/98 ³²⁻⁶¹/₁₁₁₀ 14274

One Thousand and One Hundred Seventy and 00/100-----

\$ 1,170.00

DOLLARS ☒ FOR DEPOSIT ONLY
CASH ON HAND

BANK ONE.

Bank One, Texas, NA - No. 14274
9401 LBJ Freeway
Dallas, Texas 75243

FOR

⑈002767⑈ ⑈11100061⑈ ⑈1882824665⑈

[Signature]

MP

Atty: GMH

Filed in the United States
Patent and Trademark
Office on:

Check: #2767 \$1,170.00

SN/Pat.N.

Title: UNIVERSAL CONTRACT EXCHANGE

Inventor(s): Roger Lancaster

Mtd: 8/19/98 Due: 8/19/98

File: LAUS-24,408

Exp. Mail Cert. No. EL021317329US
PAPERS: Transmittal Letter (2), Certificate Under 37 CFR 1.10 (1), PCT
Application WO 97/30407 (48), Search Report (3), Preliminary
Amendment (1).

Attorney's Docket No. LAUS-24,408

IN THE UNITED STATES DESIGNATED OFFICE (DO/US)

| | | |
|----------------------------------|---------------------------|-----------------------|
| PCT/AU97/00087 | 18.02.1997 | 19.02.1996 |
| INTERNATIONAL APPLICATION NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED |
| UNIVERSAL CONTRACT EXCHANGE | | |
| TITLE OF INVENTION | | |
| Lancaster Australia Pty. Limited | | |
| APPLICANT(S) | | |

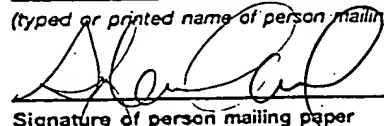
Box PCT.
Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this paper and any documents referred to as enclosed or attached are being deposited with the United States Postal Service on this date August 19, 1998 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL021317329US addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Suzie J. Rowland

(typed or printed name of person mailing paper)



Signature of person mailing paper

NOTE: Each paper or fee referred to as enclosed herein has the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 CFR 1.16(b).

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 CFR 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

(First Page of Letter—United States Designated Office (DO/US) [13-4])

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

APPLICATION NO. (if known, see 37 C.F.R. 1.5)

INTERNATIONAL APPLICATION NO.
PCT/AU97/00087INTERNATIONAL FILING DATE
18.02.1997PRIORITY DATE CLAIMED
19.02.1996

TITLE OF INVENTION UNIVERSAL CONTRACT EXCHANGE

APPLICANT(S) FOR DO/EO/US Lancaster Australia Pty. Limited

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(35)).

ITEMS 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other Items or information. Preliminary Amendment.

17. ☐ The following fees are submitted:**Basic National Fee (37 CFR 1.492(a)(1)-(5)):**

Search Report has been prepared by the EPO or JPO. \$ 910.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)
..... \$ 700.00No international preliminary examination fee paid to USPTO (37 CFR 1.482)
but international search fee paid to USPTO (37 CFR 1.445(a)(2)). . . . \$ 770.00Neither international preliminary examination fee (37 CFR 1.482) nor
international search fee (37 CFR 1.445(a)(2)) paid to USPTO. \$ 1,040.00International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(2)-(4). \$ 96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 1,040.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 130.00

| Claims | Number Filed | Number Extra | Rate | | |
|---|--------------|--------------|------------|-----------------------|----|
| Total Claims | 13 - 20 = | 0 | X \$ 22.00 | \$ 0 | |
| Independent Claims | 3 - 3 = | 0 | X \$ 80.00 | \$ 0 | |
| Multiple dependent claim(s) (if applicable) | | | + \$260.00 | \$ 0 | |
| TOTAL OF ABOVE CALCULATIONS | | | = | \$ 1,170.00 | |
| Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28). | | | | \$ | |
| SUBTOTAL = | | | | \$ 1,170.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$ 0 | |
| TOTAL NATIONAL FEE = | | | | \$ 1,170.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(H)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | \$ 1,170.00 | |
| | | | | Amount to be refunded | \$ |
| | | | | charged | \$ |

a. ☒ A check in the amount of \$ 1,170.00 cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit
Account No. 20-0780/LAUS-24,408. A duplicate copy of this sheet is enclosed.NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b))
must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

GREGORY M. HOWISON
THOMPSON & HOWISON, L.L.P.
P.O. Box 741715
Dallas, Texas 75374-1715

SIGNATURE

Gregory M. Howison
NAME30,646
REGISTRATION NUMBER

LAUS-24,408

PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Roger Lancaster

Serial No.: 09/125,479

Filed: August 19, 1998

Group:

Examiner:

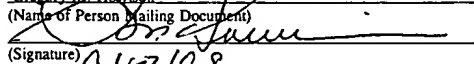
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(Date of Deposit)

Gregory M. Howison
(Name of Person Mailing Document)

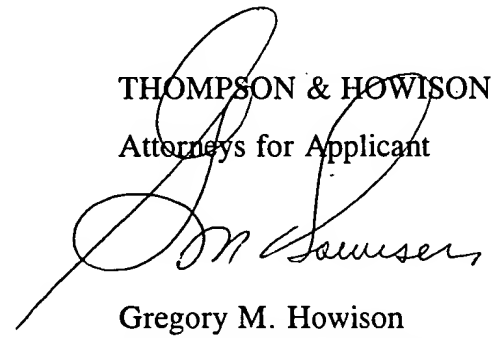
(Signature) 
9/17/98
(Date of Signature)

TRANSMITTAL OF STATEMENT CLAIMING SMALL ENTITY STATUS

Attached hereto for filing is a duly executed Statement Claiming Small Entity Status.

Respectfully submitted,

THOMPSON & HOWISON, L.L.P.
Attorneys for Applicant


Gregory M. Howison
Registration No. 30,646

GMH/co
P.O. Box 741715
Dallas, Texas 75374-1715
972/479-0460
September 16, 1998